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| APPLICATION NO.                       | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO |
|---------------------------------------|-----------------|----------------------|------------------------|-----------------|
| 10/657,484                            | 09/08/2003      | Amrit P. Bindra      | 4874                   | 2666            |
| 48227                                 | 7590 04/06/2005 |                      | EXAMINER               |                 |
| ENGELHARD CORPORATION 101 WOOD AVENUE |                 |                      | GOODROW, JOHN L        |                 |
| ISELIN, NJ                            |                 |                      | ART UNIT               | PAPER NUMBER    |
| ,                                     |                 |                      | 1756                   |                 |
|                                       |                 |                      | DATE MAILED: 04/06/200 | 5               |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |   | Application No.                  | Applicant(s)  |  |  |  |
|---|---|----------------------------------|---|--|--|--|
| Office Action Summary   |   | 10/657,484                       | BINDRA, AMRIT P.  |  |  |  |
|   |   | Examiner                         | Art Unit  |  |  |  |
|   |   | John L. Goodrow                  | 1756  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply   |   |                                  |   |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                                  |   |  |  |  |
| Status  |   |                                  |   |  |  |  |
| 1)  | Responsive to communication(s) filed on   |                                  |   |  |  |  |
| 2a) <u></u> □   | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |                                  |   |  |  |  |
| 3)[   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |                                  |   |  |  |  |
|   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |                                  |   |  |  |  |
| Disposition of Claims   |   |                                  |   |  |  |  |
| <ul> <li>4)  Claim(s) 1-24 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1-24 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>  |   |                                  |   |  |  |  |
| Application Papers  |   |                                  |   |  |  |  |
| 9)☐ The specification is objected to by the Examiner.   |   |                                  |   |  |  |  |
| 10)   | 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |                                  |   |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |                                  |   |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |                                  |   |  |  |  |
| 11)   | The oath or declaration is objected to by the   | ne Examiner. Note the attached O | ffice Action or form PTO-152.                                   |  |  |  |
| Priority u  | nder 35 U.S.C. § 119  |                                  |   |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |   |                                  |   |  |  |  |
| Attachment  | (s)   |                                  |   |  |  |  |
| 1) Motice<br>2) Motice<br>3) Motice<br>3) Inform  | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (PTO-94)<br>nation Disclosure Statement(s) (PTO-1449 or PTO/S<br>No(s)/Mail Date <u>9/03, 3/05</u> . | B) Paper No(s)/M                 | mary (PTO-413)<br>lail Date<br>mal Patent Application (PTO-152) |  |  |  |

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#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 112

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 1, 4, 7, 11, 12, 15, 17, 19 – 24 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In all of the claims reference to the amount as 'minor or major" is vague and indefinite and are relative terms.

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 1-3 7, 15, 16, 19, 21, 23 are rejected under 35 U.S.C. 102(b) as being anticipated by CS 268606 Necas et al. Necas teaches the azo pigment note page 1 where the pigment can as a metal ion a divalent metal. While the reference doesn't recite the Hue angle and the K?S value it is believed that the claimed physical properties are inherent in the compound as the formula are the same.

### Claim Rejections - 35 USC § 103

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- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 4-6, 8-14, 17, 18, 20, 22, and 24 rejected under 35 U.S.C. 103(a) as being unpatentable over Hays in view of JP 58176260 and Makromol. Chem. 193, 909-919. Hays teach the use of a bisazo pigment as coloring agents for paints and some printing inks. The bisazo pigment is a metallized disazo pigment and is a good pigment for paints, inks, electrostatic toners, and powder coatings note Col. 3 lines 45-50. The divalent metallized disazo pigment can be used with a surfactant. It is advantageous in the diazotization reaction mixtures to include one or more surface-active agents before. during the reaction or after the reaction is complete note Col. 6 lines 10-45. Both amine and sulfosuccinate surfactants are taught note lines 43-45. Hays fail to teach the specific azo dye or the method of making the azo dye. JPL 58-176260 teaches the azo metal salt and the use of the azo dye in an ink-jet solution. The method of making the azo dye is the method of diazotization as taught in Makromol. Chem. It would be obvious to one of ordinary skill in the art at the time of applicants' invention with a reasonable expectation of success to make and use the azo pigments with the amine surfactants in a coating material, ink-jet printer, or an electrostatic toner as taught in Hays for its well-balanced recording properties, storage stability, dissolution stability in

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liquid solvents, and setting properties on printing paper and images having excellent weatherability.

#### Double Patenting

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-24 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 9 of U.S. Patent Application No. 10/657485 in view of CS 268606. The use of a monovalent or a divalent metal to metallize a bisazo pigment is shown to be the same in use and method of making of that pigment.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John L. Goodrow whose telephone number is 571-272-1384. The examiner can normally be reached on Monday -Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark F. Huff can be reached on 571-272-1385. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

John L Goodrow Primary Examiner Art Unit 1756

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